



EXPRESS MAIL NO. EL 813862947 US

PATENT APPLICATION  
Docket No: 15689.65

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**RECEIVED**

FEB 25 2003

Technology Center 2600

Art Unit  
2661

In re application of

Yoshihiro Ishikawa et al.

Serial No.: 09/763,034

Filed: February 15, 2001

For: NEIGHBORING BASE STATION  
INFORMATION UPDATE METHOD,  
INFORMATION MANAGEMENT METHOD  
FOR CELL SEARCH IN MOBILE  
COMMUNICATIONS SYSTEM, CELL SEARCH  
METHOD OF MOBILE STATION,  
MOBILE COMMUNICATIONS SYSTEM,  
MOBILE STATION, BASE STATION  
AND CONTROL STATION

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102

or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each non-English reference, if any, are also enclosed. Note particularly, that English language reference 6,167,037 corresponds to Japanese language reference W097/33400 and thus serve as its English translation.

In accordance with 37 C.F.R. § 1.98(a)(3), the following concise explanation of the relevance of each listed reference that is not in the English language and unaccompanied by a translation into English is provided. The concise explanation for Japanese Publication No.: 11-196460 is provided in the English language abstract of that publication.

DATED February 20, 2003.

Respectfully submitted,



ADRIAN J. LEE  
Attorney for Applicant  
Registration No. 42,785



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PATENT TRADEMARK OFFICE

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c) E)

Docket No.  
15689.65

In Re Application Of: Yoshihiro Ishikawa, et al.

FEB 20 2003

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FEB 25 2003

Technology Center 2600

Serial No.  
09/763,034Filing Date  
February 15, 2001

Examiner

Group Art Unit  
2661

Title: Neighboring Base Station Information Update Method, Information Management Method For Cell Search In Mobile Communications System, Cell Search Method of Mobile Station, Mobile Communications System, Mobile Station, Base Station and Control Station

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
15689.65

In Re Application: Yoshihiro Ishikawa, et al.

Serial No.

09/763,034

Filing Date

February 15, 2001

Examiner

Group Art Unit

2661

Neighboring Base Station Information Update Method, Information Managment Method For Cell  
In Mobile Communications System, Cell Search Method of Mobile Station, Mobile Communications System  
Station, Base Station and Control Station

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
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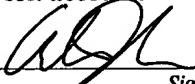
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Signature

Dated: February 20, 2003

ADRIAN J. LEE

Attorney for Applicant

Reg. No.: 42,785



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PATENT TRADEMARK OFFICE

CC:

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Yoshihiro Ishikawa et al.

Docket No.

15689.65

Serial No.

09/763,034

Filing Date

February 15, 2001

Examiner

Group Art Unit

2661

Invention: Neighboring Base Station Information Updated Method, Information Management Method  
For Cell Search In Mobile Communications System, Cell Search Method of Mobile Station,  
Mobile Communications System, Mobile Station, Base Station and Control Station

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FEB 25 2003

Technology Center 2600

I hereby certify that the following correspondence:

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is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under  
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

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Note: Each paper must have its own certificate of mailing.

Transmittal for Information Disclosure Statement (2 pages; duplicate);  
Information Disclosure Statement Under 37 C.F.R. § 1.97 (3 pages);  
Form PTO-1449 Listing of References (1 page);  
Legible Copy of References (8 references);  
Certificate of Express Mail (EL813862947US);  
Acknowledgment Postcard